



Attorney Docket
No. 50-00-005

G2/2182
#2
BT
11-12-a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:
Ballantyne, Alando M.

Serial No.: 09/840,727

Filed: April 23, 2001

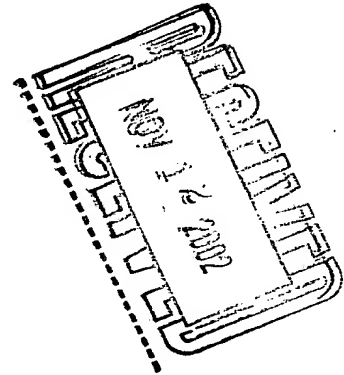
For: Method and System for Reporting
XML Data Based on Precompiled
Context and A Document Object Model

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Group Art Unit: 2182

Examiner: Unknown

Assistant Commissioner for Patents
Washington, DC 20231



Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicants' duty of disclosure under 37 CFR 1.56, 1.97 and 1.98, the following art is disclosed and made of record in the above-identified application, copies of which are enclosed.

WO 01 86476 A [Charteris PLC; Worden Robert Peel (GB)] , 15 November 2001, page 5, line 22-line 24.

"Wrapping legacy COBOL programs behind an XML-interface" , H.M. Sneed; Proceedings Eighth Working Conference on Reverse Engineering; Stuttgart, Germany, 2-5 October 2001; pages 189-197, XP001061420; 2001, Los Alamitos, CA, USA, IEEE Comput. Soc. USA; ISBN: 0-7695-1303-4.

"Generation of stateless components from procedural programs for reuse in a distributed system", Proc of the 4th European Conference on Software Maintenance and Reengineering, 'Online! 29 February 2000 (2000-02-29) – 3 March 2000 (2000-03-03); pages 1-8, XP002210346

"Querying XML Documents Made Easy: Nearest Concept Queries", A. Schmidt, M.L. Kersten and M. Windhouwer, Proc. of the 17th International Conf on Data Engineering, 'Online! 2-6 April 2001, pages 321-329, XP002200687

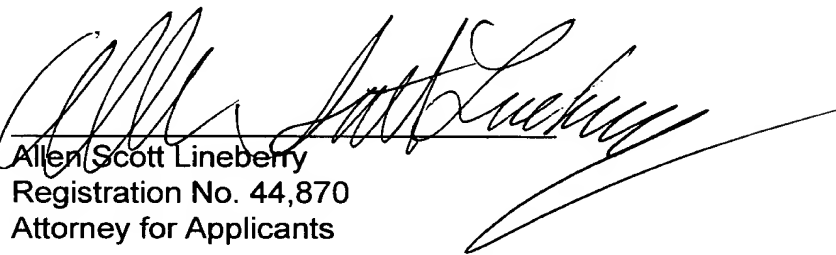
"Automatic Patch Instruction Maker Program for the OS/2 Patch Utility", IBM Technical Disclosure Bulletin, IBM Corp. New York, US, vol. 33, no. 6A, 1 November 1990, page 203 XP000107688.

"Extending your markup: an XML tutorial"; IEEE Internet Computing, 'Online! July 2000 – August 2000, pages 74-79, XP002210347.

The art cited in this Information Disclosure Statement is being provided before the mailing of a first Office Action on the merits on the above-identified patent application (37 CFR 1.97(b)(3)).

Respectfully submitted,

Date: 30 October 2002

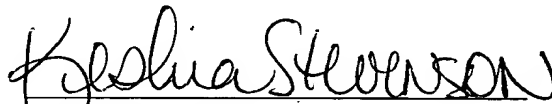

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I, Keshia Stevenson, do hereby certify that the foregoing document is being deposited with the United States Postal Service as First Class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date of October 30, 2002.


Keshia Stevenson